

Last Time:  $L: V \rightarrow W$  linear

$$\ker(L) = \{v \in V : L(v) = 0_W\}.$$

$$\text{ran}(L) = \{L(v) : v \in V\}.$$

Prop:  $L: V \rightarrow W$  linear.

①  $L$  is injective iff  $\ker(L) = 0$

②  $L$  is surjective iff  $\text{ran}(L) = W$ .

NB: A bijective linear map (i.e. a linear map which is both injective and surjective) is a linear isomorphism... Very important...

Prop (Rank-Nullity Formula): Suppose  $L: V \rightarrow W$  is a linear map. Then we have

$$\dim(V) = \underbrace{\dim(\ker(L))}_{\substack{\uparrow \\ \text{nullity}(L)}} + \underbrace{\dim(\text{ran}(L))}_{\substack{\uparrow \\ \text{rank}(L)}}.$$

Pf: Let  $L: V \rightarrow W$  be a linear map. Let  $B_0$  be a basis for  $\ker(L) \leq V$ . Now  $B_0$  extends to a basis  $B \supseteq B_0$  for  $V$ . Let  $A := B \setminus B_0$ .

claim:  $L(A) := \{L(a) : a \in A\} \subseteq \text{ran}(L)$  is a basis of  $\text{ran}(L)$ .

Note  $L(A)$  spans  $\text{ran}(L)$  (because every element of  $\text{ran}(L)$  can be expressed as:

$$\begin{aligned} \left[ L \left( \sum_{b \in B} c_b b \right) \right] &= L \left( \sum_{b \in B_0} c_b b + \sum_{a \in A} c_a a \right) \\ &= L \left( \sum_{b \in B_0} c_b b \right) + L \left( \sum_{a \in A} c_a a \right) \end{aligned}$$

notation trick...

Point: Break up

the sum by inclusion in  $B_0$  or  $A$

$$= \sum_{b \in B_0} c_b L(b) + \sum_{a \in A} c_a L(a)$$

$$= 0_W + \sum_{a \in A} c_a L(a)$$

$$= \sum_{a \in A} c_a L(a)$$

b/c every elt of  $V$  can be expressed in this way (i.e. using basis  $B$ )

So  $L(A)$  spans  $\text{ran}(L)$ . To see  $L(A)$

is linearly indep., Suppose  $\left[ \sum_{i=1}^n c_i L(a_i) = 0_W \right]$

Thus  $L\left(\sum_{i=1}^n c_i a_i\right) = 0_W$ , so  $\sum_{i=1}^n c_i a_i \in \ker(L)$ .

Hence  $\sum_{i=1}^n c_i a_i + \boxed{\sum_{b \in B_0} 0 b}$  is the unique expression for  $\sum_{i=1}^n c_i a_i$  in terms of the basis  $B$ .

But  $\sum_{i=1}^n c_i a_i \in \ker(L)$ , so  $c_i = 0$  for all  $i$ .

Hence  $L(A)$  is linearly independent. Thus

$L(A)$  is a basis for  $\text{ran}(L)$ . But

$$B_0 \cup A = B, \text{ so } \#B = \#B_0 + \#A.$$

On the other hand,  $\#B = \dim(V)$ ,  $\#B_0 = \dim(\ker(L))$

$\#L(A) = \dim(\text{ran}(L))$ . Hence, we have

$$\boxed{\dim(V) = \dim(\ker(L)) + \#A} \quad \text{Now we must show}$$

$\#A = \#L(A)$ . If  $\#A > \#L(A)$ , then there are  $a, a' \in A$  with  $L(a) = L(a')$ ; But then  $L(a-a') = 0_W$

So  $a - a' \in \ker(L)$ , so  $B_0 \cup \{a, a'\}$  is linearly dependent, contradicting our assumption  $B = B_0 \cup A \geq B_0 \cup \{a, a'\}$  is a basis... Thus  $\#A \leq \#L(A) \leq \#A$ .

→ Hence 
$$\begin{aligned} \dim(V) &= \dim(\ker(L)) + \#A \\ &= \dim(\ker(L)) + \#L(A) \\ &= \dim(\ker(L)) + \dim(\text{ran}(L)) \\ &= \text{nullity}(L) + \text{rank}(L). \end{aligned}$$

Ex: Suppose  $L: V \rightarrow \mathbb{R}^{15}$  has  $\text{nullity}(L) = 7$  and  $L$  is surjective. Q: what is  $\dim(V)$ ?

Sol: by the rank-nullity formula,  $\dim(V) = \text{nullity}(L) + \text{rank}(L)$ .  $\text{nullity}(L) = 7$ , and  $\text{ran}(L) = \mathbb{R}^{15}$ , so  $\text{rank}(L) = 15$ .

Hence  $\dim(V) = 7 + 15 = 22$ .

Ex: Suppose  $L: \mathbb{R}^3 \rightarrow \mathbb{R}^2$  is linear.

Q: what can  $\text{rank}(L)$  and  $\text{nullity}(L)$  be?

Sol: The rank-nullity formula yields

$$\left[ 3 = \dim(\mathbb{R}^3) = \text{nullity}(L) + \text{rank}(L) \right] \quad \sim \quad 0 \leq \text{rank}(L) \leq 2$$

OTOH,  $\text{rank}(L) \in \{0, 1, 2\}$ .

If  $\text{rank}(L) = 1$  :  $\text{nullity}(L) = 3 - 1 = 2$

If  $\text{rank}(L) = 2$  :  $\text{nullity}(L) = 3 - 2 = 1$

If  $\text{rank}(L) = 0$  :  $\text{nullity}(L) = 3 - 0 = 3$

Thus  $1 \leq \text{nullity}(L) \leq 3$ .

Point: Every linear transformation from  $\mathbb{R}^3 \rightarrow \mathbb{R}^2$  has a nontrivial kernel!

In fact...

Cor: If  $m < n$  and  $L: \mathbb{R}^n \rightarrow \mathbb{R}^m$  is linear, then  $L$  is not injective.

Pf:  $\dim(\text{dom}(L)) = \dim(\ker(L)) + \dim(\text{ran}(L))$ , so

$$n = \dim(\ker(L)) + \dim(\text{ran}(L)). \quad \text{Moreover,}$$

$$0 \leq \dim(\text{ran}(L)) \leq \dim(\mathbb{R}^m) = m \quad (\text{b/c } \text{ran}(L) \subseteq \mathbb{R}^m).$$

$$\text{Hence } n = \dim(\ker(L)) + \dim(\text{ran}(L)) \leq \dim(\ker(L)) + m.$$

$$\text{So } 0 < n - m \leq \dim(\ker(L)). \quad \text{Hence } \ker(L) \neq \{0_v\},$$

so  $L$  is not injective. □

Ex: Let  $L: V \rightarrow W$  be a linear map. Define for all  $U \subseteq W$ ,  $L^{-1}U := \{v \in V : L(v) \in U\}$ . Prove

$L^{-1}U \subseteq V$ . Q: What can you say about  $\dim(L^{-1}U)$ ?

Hint: Rank nullity formula, apply to  $L: L^{-1}U \rightarrow U$ ...

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Lemma: Suppose  $L: V \rightarrow W$  and  $Q: W \rightarrow U$  are linear.

Then  $Q \circ L: V \rightarrow U$  is linear.

(i.e. Compositions of linear maps are linear maps).

Recall: The composition of two functions  $f: A \rightarrow B$

and  $g: B \rightarrow C$  is the map  $g \circ f: A \rightarrow C$

defined by  $(g \circ f)(x) = g(f(x))$  for all  $x \in A$ .

Remember: Composition of functions is associative...

$$\text{i.e. } h \circ (g \circ f) = (h \circ g) \circ f.$$

Pf (Lemma): Exercise. 😊

□

Point: Compositions of linear maps can be used to produce more linear maps. 😊

Defn: A linear isomorphism of vector spaces  $V$  and  $W$  is a linear map  $L: V \rightarrow W$  which is bijective.  
 $V$  and  $W$  are isomorphic when there is an isomorphism between them (and we write  $V \cong W$ ).

Ex: Claim  $\mathbb{R}^4 \cong \text{Mat}_{2 \times 2}(\mathbb{R})$ .

Pf: We construct an explicit isomorphism.

Look at bases  $\mathcal{E}_4 = \{e_1, e_2, e_3, e_4\}$  and

$$\mathcal{B} = \left\{ b_1 = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}, b_2 = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, b_3 = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}, b_4 = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \right\}.$$

Left to you:  $\mathcal{B}$  is a basis of  $\text{Mat}_{2 \times 2}(\mathbb{R})$ .

[Define  $L: \mathbb{R}^4 \rightarrow \text{Mat}_{2 \times 2}(\mathbb{R})$  by linearly extending

$$L(e_i) = b_i \text{ for } 1 \leq i \leq 4.] \text{ Left to you;}$$

$$L \begin{pmatrix} x \\ y \\ z \\ w \end{pmatrix} = \begin{pmatrix} x & y \\ z & w \end{pmatrix}. \text{ To see } L \text{ is injective:}$$

$$\begin{aligned} * L \begin{pmatrix} x \\ y \\ z \\ w \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix} &\Leftrightarrow \begin{pmatrix} x & y \\ z & w \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix} \Rightarrow x=y=z=w=0 \\ &\Leftrightarrow \begin{pmatrix} x \\ y \\ z \\ w \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \end{pmatrix}. \text{ Hence } \ker(L) = \{0\}. \end{aligned}$$

To see  $L$  is surjective, note  $\text{ran}(L) \supset \mathcal{B}$ , which is a basis for  $\text{Mat}_{2 \times 2}(\mathbb{R})$ , so  $\text{ran}(L) = \text{Mat}_{2 \times 2}(\mathbb{R})$  yields  $L$  is surjective.

Hence  $L$  is bijective and linear, so  $L$  is an isomorphism, yielding  $\mathbb{R}^4 \cong \text{Mat}_{2 \times 2}(\mathbb{R})$ .  $\square$

NB: Nothing special about this example...

All we needed to make this argument was that the vector spaces had the same dimension!

Prop: Two vector spaces are isomorphic if and only if they have the same dimension.

pf: Let  $V$  and  $W$  be vector spaces.

( $\Rightarrow$ ): Assume  $V$  and  $W$  are isomorphic. Thus there is an isomorphism  $L: V \rightarrow W$ . Let  $B$  be a basis of  $V$ .  $L(B)$  is a basis for  $W$  by the same argument we made when proving the rank-nullity formula:  $B = \emptyset \cup B$  and  $\emptyset$  is a basis for  $\{0_V\} = \ker(L)$ . Hence, by injectivity  $\dim(V) = \#B = \#L(B) = \dim(W)$ .

( $\Leftarrow$ ): Assume  $V$  and  $W$  have the same dimension.

Let  $B$  be a basis of  $V$  and  $A$  a basis of  $W$ .

By assumption,  $\#B = \dim(V) = \dim(W) = \#A$ . Let

$f$  be any bijection  $f: B \rightarrow A$ . Extend  $f$  linearly to  $F: V \rightarrow W$  (by a previous proposition). Because  $A$  is a basis (hence linearly independent), one can show  $\ker(F) = 0$

(i.e.  $F$  is injective). OTOH  $\text{ran}(F) \supseteq F(B) = A$

So  $\text{ran}(F) = W$ . Hence  $F$  is bijective.

□